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# Evaluation of efficacy of *Haritakyadi Gutika* and *Vasadi Kwatha* in the Management of *Shwasa Roga* w.s.r. to Chronic Obstructive Pulmonary Disease (COPD)

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## ABSTRACT

*Shwasa Roga* is one of the common and deadliest diseases according to *Ayurvedic* literature and similarly according to modern medical science, Chronic Obstructive Pulmonary Disease (COPD) is a common respiratory disease having high morbidity and mortality, whose symptomatology resembles to *Shwasa Roga*. It affects all socioeconomic groups equally all over the world and its prevalence is increasing day by day. Morbidity and mortality of the disease is also rising with the passing years. It not only affects respiratory system but other systemic components also get involved with its progression. There is no absolute cure for this disease but to reduce its morbidity, mortality and fatal complications patient requires long term therapy. So, a controlled clinical study was planned to develop a safe and cost-effective therapy to manage this chronic disease. *Haritakyadi Gutika* (*Chakradatta Kasachikitsa 11/30*) and *Vasadi Kwatha* (*Yogratnakara Shwasa Nidana 5*) were the interventional drugs used in this study whose ingredients were mainly *Tridoshashamaka* and work on *Pranavaha Srotasa*. Study was done on thirty subjects diagnosed with COPD, aged between 45-75 years. Study subjects were screened from OPD and IPD of Kayachikitsa department of Rajiv Gandhi Govt. Post Graduate Ayurvedic College and Hospital Paprola and were diagnosed on the basis of spirometric results. Spirometry was the main tool for inclusion of subjects in this study. Registered subjects were treated for thirty days with above mentioned drugs in group I and tablet Doxofylline in group II. Statistically significant improvement was observed after the completion of therapy in some of the symptoms. *Haritakyadi Gutika* in the dose of 2 gram per day and *Vasadi Kwatha* in the dose of 100 ml per day found to be more effective in cough and expectoration as compared to tablet Doxofylline in the dose of 400 mg per day. On overall assessment therapeutic effect of *Haritakyadi Gutika* and *Vasadi Kwatha* was found to be comparable to the standard drug i.e., tablet Doxofylline. As per the safety concern no untoward effect of drugs seen during the entire trial period.

**Key Words** *Shwasa Roga*, COPD, *Haritakyadi Gutika*, *Vasadi Kwatha*, Spirometry

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**INTRODUCTION** - *Shwasa Roga* is one of the commonest and fatal diseases according to both *Ayurveda*<sup>1</sup> and Modern medical sciences if not managed timely and properly. The disease is

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prevalent in 4<sup>th</sup> decade of life<sup>2</sup> with male predominance<sup>3</sup>. Generally, the word *Shwasa* is used to describe both normal respiration in physiological condition as well as difficult breathing or *Shwasakrichrata* in pathological condition<sup>4</sup>. *ShwasaRoga* is a common disease of *Pranavaha Srotasa*<sup>5</sup> or trachea-bronchial tree whose cardinal feature is abnormal, rapid<sup>6</sup> or difficult breathing. *Kapha* increases broncho-pulmonary secretions which get thickened by the antagonizing properties of *Vata* and leads to *Srotosanga*. The parallel disease in modern medicine is Chronic Obstructive Pulmonary Disease which has similar cardinal feature and characterized by airflow limitation that is usually progressive<sup>7</sup>. Other than the pulmonary involvement primarily disease is also having systemic component which is characterized by impaired nutrition, weight loss, skeletal muscle dysfunction, anaemia/polycythaemia etc.<sup>8</sup>. Cumulative exposure of tobacco smoke, occupational dust vapors, indoor and outdoor pollution is responsible for the disease<sup>9</sup>. The males of 45 – 80 years age group are mainly affected. It is one of the most distressing disease common in all socioeconomic groups and is 3<sup>rd</sup> leading cause of death currently<sup>10,11</sup>. Management of this disease is difficult due to number of associated clinical manifestations and its fatal complications. It also imposes financial burden on individual as the long-term therapy is required. So, in order to reduce morbidity, mortality present study was planned to develop a safe and cost-effective therapy to manage this

chronic disease. *Haritakyadi Gutika* and *Vasadi Kwatha* were selected for the present clinical study. Primary objective of this research work was to evaluate the efficacy and assess the safety of *Haritakyadi Gutika* and *Vasadi Kwatha* in the management of *Shwasa Roga* w.s.r. to COPD. Intervention with these drugs for 30 days showed statistically significant improvement in symptoms without any adverse effect.

## MATERIALS AND METHODS

A controlled clinical study with two study groups was designed. Subjects were screened from OPD/IPD Dept. of Kayachikitsa R.G.G.P.G. Ayu. College & Hospital Paprola, irrespective of gender, cast and religion; among them 30 were registered for the research work who were diagnosed with COPD and fulfilling the inclusion criteria. Diagnosis was made on the basis of signs, symptoms, radiological findings in chest and spirometric result i.e. post bronchodilation FEV1/FVC < 70% or < 0.7<sup>12,13</sup>. Spirometry was performed after fifteen minutes of bronchodilation with 2 puff of Salbutamol, 100mcg/puff.

All the registered patients were randomly divided into two groups, each group had fifteen patients. In group I patients *Haritakyadi Gutika* (1g twice a day) and *Vasadi Kwatha* (50 ml twice a day) were used for the management whereas in group II tablet Doxofylline (400 mg once a day) was used. Ingredients of *Haritakyadi Gutika* are – *Haritaki*, *Shunthi*, *Mustaka*, *Guda* and that of

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*Vasadi Kwatha* are – *Vasa, Haridra, Dhanyaka, Bharangi, Guduchi, Shunthi, Kantkari, Pippali and Maricha*. Part used and quantity of each raw drug has been mentioned in table no. 1 and 2, respectively. Both the formulations were prepared as per standards of GMP in the Charaka

Pharmacy Rajiv Gandhi Govt. Post Graduate Ayurvedic College and Hospital Paprola, Distt. Kangra (H.P.). Therapy was given for thirty days and patients were advised to come for follow up after fifteen days of initiation of therapy and at the end of trial.

Table 1 Ingredients of *Haritakyadi Gutika*

| Sr. No. | Ingredients     | Botanical Name                    | Family        | Part used | Quantity |
|---------|-----------------|-----------------------------------|---------------|-----------|----------|
| 1.      | <i>Haritaki</i> | <i>Terminalia chebula</i> Retz.   | Combretaceae  | Pericarp  | 1 part   |
| 2.      | <i>Shunthi</i>  | <i>Zingiber officinalis</i> Rose. | Zingiberaceae | Rhizome   | 1 part   |
| 3.      | <i>Mustaka</i>  | <i>Cyperus rotundus</i> Linn.     | Cyperaceae    | Rhizome   | 1 part   |
| 4.      | <i>Guda</i>     |                                   |               |           | 1 part   |

Table 2 Ingredients of *Vasadi Kwatha*

| Sr. No. | Ingredients     | Botanical Name                           | Family        | Part used  | Quantity |
|---------|-----------------|--|---------------|------------|----------|
| 1.      | <i>Vasa</i>     | <i>Adhatoda vasica</i> Nees.             | Acanthaceae   | Leaves     | 1 part   |
| 2.      | <i>Haridra</i>  | <i>Curcuma longa</i> Linn.               | Berberidaceae | Rhizome    | 1 part   |
| 3.      | <i>Dhanyaka</i> | <i>Coriandrum sativum</i> Linn.          | Umbelliferae  | Seed       | 1 part   |
| 4.      | <i>Bharangi</i> | <i>Clerodendron serratum</i> Linn.       | Verbenaceae   | Root       | 1 part   |
| 5.      | <i>Guduchi</i>  | <i>Tinospora cordifolia</i> Willd. Miers | Rutaceae      | Stem       | 1 part   |
| 6.      | <i>Shunthi</i>  | <i>Zingiber officinalis</i> Rose.        | Zingiberaceae | Rhizome    | 1 part   |
| 7.      | <i>Kantkari</i> | <i>Solanum indicum</i> Linn.             | Solanaceae    | Whole part | 1 part   |
| 8.      | <i>Pippali</i>  | <i>Piper longum</i> Linn.                | Piperaceae    | Fruit      | 1 part   |
| 9.      | <i>Maricha</i>  | <i>Piper nigrum</i> Linn.                | Piperaceae    | Fruit      | 3g/100g  |

Investigations done before and after completion of therapy are- Chest X-ray PA view, spirometry, pulse oximetry; Hematological investigations - Hb%, TLC, DLC, ESR; Biochemical investigations – FBS; TSB, DSB, SGOT, SGPT; B.Urea, S. Creatinine.

Patients suffering from malignancy, pulmonary tuberculosis, renal failure, diabetes mellitus, congestive heart failure, ischemic heart disease, severe anemia, myocardial infarction, poorly controlled hypertension, having advanced type II respiratory failure, lung collapse and

pneumothorax were excluded. Subjects having more than 12% increment in FEV<sub>1</sub>/FVC in fifteen minutes of bronchodilation with 200 mcg Salbutamol were also excluded.

All the patients were evaluated on the basis of subjective and objective criteria. Subjective parameters for the assessment were – **dyspnoea, cough, expectoration, heaviness in chest, wheezing, cyanosis, and requirement of inhaler** as given in table no. 3. Objective parameters were – **FEV<sub>1</sub>/FVC%, SPO<sub>2</sub> at room air and pulse rate.**

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**Table 3** Subjective Criteria

| Sr. no. | Sign and Symptoms      | Degree of severity                                    | Grade |
|---------|------------------------|---|-------|
| 1.      | Dyspnoea               | No dyspnoea   | 0     |
|         |                        | Dyspnoea on prolong and heavy exertion                | 1     |
|         |                        | Dyspnoea on moderate exertion                         | 2     |
|         |                        | Dyspnoea on mild exertion                             | 3     |
|         |                        | Dyspnoea even at rest                                 | 4     |
| 2.      | Cough                  | No cough  | 0     |
|         |                        | Twice in a day; without much exhaustion               | 1     |
|         |                        | Three to four times in a day; without much exhaustion | 2     |
|         |                        | Most of the time in a day; with exhaustion            | 3     |
|         |                        | Throughout the day; with marked exhaustion            | 4     |
| 3.      | Expectoration          | Less than 5 ml  | 0     |
|         |                        | 5 to 10 ml; thin                                      | 1     |
|         |                        | 10 to 20 ml; thin                                     | 2     |
|         |                        | 25 to 30 ml; thin                                     | 3     |
|         |                        | 50 to 100 ml; tenacious                               | 4     |
| 4.      | Heaviness in chest     | No heaviness  | 0     |
|         |                        | Mild; with occasional wheezing                        | 1     |
|         |                        | Mild; relieved by expectoration                       | 2     |
|         |                        | Moderate; relieved by expectoration                   | 3     |
|         |                        | Severe; with wheezing throughout the day              | 4     |
| 5.      | Wheezes                | Not present   | 0     |
|         |                        | Twice in 24 hours                                     | 1     |
|         |                        | Three to four times in 24 hours                       | 2     |
|         |                        | Five to six times in 24 hours                         | 3     |
|         |                        | Throughout the day                                    | 4     |
| 6.      | Cyanosis               | Not present   | 0     |
|         |                        | Mild peripheral                                       | 1     |
|         |                        | Mild peripheral and central                           | 2     |
|         |                        | Moderate peripheral and central                       | 3     |
|         |                        | Gross peripheral and central                          | 4     |
| 7.      | Requirement of inhaler | Not required  | 0     |
|         |                        | Required occasionally                                 | 1     |
|         |                        | Required once daily                                   | 2     |
|         |                        | Required twice daily                                  | 3     |
|         |                        | Required more than twice a day                        | 4     |

## RESULTS AND DISCUSSION

In the present study out of thirty registered patients eighteen were male and twelve were female, twenty one patients were in the age group of 65-75 years. Twenty two patients were of low socioeconomic status. Eighteen patients were leading sedentary life. Sixteen patients were active smoker and ten were ex-smoker. Many previous studies have also revealed that the disease is male predominant, uncommon in younger age with high prevalence in smokers and low socioeconomic groups and makes the

patients unable to lead an active life with passage of time. Other than difficult breathing cough and sputum production are commonly associated which are usually first symptoms<sup>14</sup>. The airflow limitation is not fully reversible<sup>15</sup> which occur due to irreversible damage of the airways and increases with age and continuous exposure to aetiological factors. That's why with time severity of disease increases.

The interventional drugs used in this study i.e., *Haritakyadi Gutika* and *Vasadi Kwatha* showed statistically highly significant improvement in

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cough and expectoration whereas statistically significant reduction in dyspnoea, wheezing, heaviness in chest and requirement of inhaler

therapy; as shown in table no. 4. They also showed statistically significant improvement in oxygen saturation; given in table no. 5.

**Table 4** Effect of therapy on subjective parameters

| Subjective Parameters  | Group | Mean Score |       | % Change | Mean Diff. | S.D.± | S.E.± | t-value | p-value  |
|------------------------|-------|------------|-------|----------|------------|-------|-------|---------|----------|
|                        |       | BT         | AT    |          |            |       |       |         |          |
| Dyspnoea               | Gr-I  | 1.533      | 1.067 | 30.40    | 0.466      | 0.516 | 0.133 | 3.500   | 0.004*   |
|                        | Gr-II | 1.733      | 0.733 | 57.70    | 1          | 0.378 | 0.098 | 10.247  | <0.001** |
| Cough                  | Gr-I  | 1.533      | 0.600 | 60.86    | 0.933      | 0.258 | 0.067 | 14      | <0.001** |
|                        | Gr-II | 1.533      | 1.333 | 13.05    | 0.200      | 0.676 | 0.145 | 1.146   | 0.271    |
| Expectoration          | Gr-I  | 1.600      | 0.800 | 50.00    | 0.800      | 0.676 | 0.175 | 4.583   | <0.001** |
|                        | Gr-II | 1.600      | 1.133 | 29.19    | 0.467      | 0.516 | 0.133 | 3.500   | 0.004*   |
| Heaviness in chest     | Gr-I  | 0.533      | 0.267 | 49.90    | 0.266      | 0.458 | 0.118 | 2.256   | 0.041*   |
|                        | Gr-II | 1          | 0.467 | 53.30    | 0.533      | 0.516 | 0.133 | 4       | 0.001*   |
| Wheezing               | Gr-I  | 1.067      | 0.667 | 37.48    | 0.400      | 0.632 | 0.163 | 2.449   | 0.028    |
|                        | Gr-II | 1.267      | 0.400 | 68.43    | 0.867      | 0.352 | 0.091 | 9.539   | <0.001** |
| Cyanosis               | Gr-I  | 0.333      | 0.267 | 19.82    | 0.066      | 0.258 | 0.067 | 1.00    | 0.334    |
|                        | Gr-II | 0.333      | 0.200 | 39.94    | 0.133      | 0.352 | 0.091 | 1.468   | 0.164    |
| Requirement of inhaler | Gr-I  | 1.6        | 1.2   | 25       | 0.400      | 0.507 | 0.131 | 3.055   | 0.009*   |
|                        | Gr-II | 1.667      | 0.867 | 47.99    | 0.8        | 0.561 | 0.145 | 5.527   | <0.001** |

**Table 5** Effect of therapy on objective parameters

| Objective parameters           | Group | Mean Score |        | % Change | Mean Diff. | S.D.± | S.E.± | t-value | p-value  |
|--------------------------------|-------|------------|--------|----------|------------|-------|-------|---------|----------|
|                                |       | BT         | AT     |          |            |       |       |         |          |
| SPO <sub>2</sub> (at room air) | Gr-I  | 89.800     | 90.333 | 0.59     | 0.533      | 0.743 | 0.192 | 2.779   | 0.015*   |
|                                | Gr-II | 89.267     | 90.733 | 1.64     | 1.467      | 0.834 | 0.215 | 6.813   | <0.001** |
| FEV <sub>1</sub> /FVC          | Gr-I  | 0.641      | 0.644  | 0.47     | 0.003      | 0.007 | 0.002 | 1.468   | 0.164    |
|                                | Gr-II | 0.649      | 0.653  | 0.62     | 0.004      | 0.007 | 0.002 | 2.103   | 0.054    |
| Pulse rate                     | Gr-I  | 86.733     | 86.267 | 0.54     | 0.467      | 0.516 | 0.336 | 1.388   | 0.187    |
|                                | Gr-II | 85.200     | 84.867 | 0.39     | 0.333      | 2.059 | 0.532 | 0.627   | 0.541    |

On intergroup comparison statistically significant difference was observed between both the groups in respect to cough whereas statistically significant difference was found in

respect to dyspnoea, wheezing, requirement of inhaler and oxygen saturation; given in table no. 6 and 7. Overall effect of therapy has been shown in table no. 8.

**Table 6** Intergroup comparison of effect of therapy on subjective parameters

| Subjective parameters  | Mean Diff. |       | %Change |       | S.D.± |       | t-value | p-value  |
|------------------------|------------|-------|---------|-------|-------|-------|---------|----------|
|                        | Gr-I       | Gr-II | Gr-I    | Gr-II | Gr-I  | Gr-II |         |          |
| Dyspnoea               | 0.467      | 1     | 30.40   | 57.70 | 0.516 | 0.378 | 3.227   | 0.003*   |
| Cough                  | 0.933      | 0.200 | 60.86   | 13.05 | 0.258 | 0.676 | 3.924   | <0.001** |
| Expectoration          | 0.800      | 0.467 | 50      | 29.19 | 0.676 | 0.516 | 1.517   | 0.141    |
| Heaviness in chest     | 0.267      | 0.533 | 49      | 53.30 | 0.458 | 0.516 | 1.493   | 0.147    |
| Wheezing               | 0.400      | 0.867 | 37.48   | 68.43 | 0.632 | 0.352 | 2.500   | 0.019*   |
| Cyanosis               | 0.066      | 0.133 | 19.82   | 39.94 | 0.258 | 0.352 | 0.588   | 0.561    |
| Requirement of inhaler | 0.400      | 0.800 | 25      | 47.99 | 0.507 | 0.561 | 2.049   | 0.050*   |

**Table 7** Intergroup comparison of effect of therapy on objective parameters

| Objective parameter            | Mean Diff. |       | %Change |       | S.D.± |       | t-Value | p-Value |
|--------------------------------|------------|-------|---------|-------|-------|-------|---------|---------|
|                                | Gr-I       | Gr-II | Gr-I    | Gr-II | Gr-I  | Gr-II |         |         |
| SPO <sub>2</sub> (at room air) | 0.005      | 0.014 | 0.59    | 1.64  | 0.007 | 0.008 | 3.28    | 0.003   |
| FEV <sub>1</sub> /FVC          | 0.003      | 0.004 | 0.47    | 0.62  | 0.007 | 0.007 | 0.494   | 0.625   |

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|                   |       |       |      |      |       |       |       |       |
|-------------------|-------|-------|------|------|-------|-------|-------|-------|
| <b>Pulse rate</b> | 0.467 | 0.333 | 0.54 | 0.39 | 1.302 | 2.059 | 0.213 | 0.833 |
|-------------------|-------|-------|------|------|-------|-------|-------|-------|

**Table 8** Overall assessment of therapy (on the basis of improvement in subjective parameters)

| Group     | Unimproved (<25%) | Mild Improvement (25-50%) | Moderate Improvement (51%-75%) | Marked Improvement (76-99%) | Total |
|-----------|-------------------|---------------------------|--------------------------------|-----------------------------|-------|
| <b>I</b>  | 3                 | 5                         | 4                              | 3                           | 15    |
| <b>II</b> | 0                 | 12                        | 1                              | 2                           | 15    |

**Table 9** Pharmacological properties of drugs

| Drug             | Rasa                            | Guna                    | Virya  | Vipaka  | Karma   |
|------------------|---------------------------------|-------------------------|--------|---------|---|
| <b>Haritaki</b>  | Pancharasa Yukta (Lavan Rahita) | Laghu, Ruksha           | Ushna  | Madhura | Tridoshahara, Rasayana, Deepana, Pachana, Vatanulomaka, Shwasa-Kasahara |
| <b>Shunthi</b>   | Katu                            | Laghu, Snigdha          | Ushna  | Madhura | Kapha-Vataghna, Deepana, Shwasa-Kasahara                                |
| <b>Mustaka</b>   | Tikta, Kashaya                  | Katu, Laghu, Ruksha     | Sheeta | Katu    | Kapha-Pittahara, Deepana, Pachana,                                      |
| <b>Vasa</b>      | Tikta, Kashaya                  | Laghu, Ruksha           | Sheeta | Katu    | Kapha-Pittaghna, Shwasa-Kasahara  |
| <b>Haridra</b>   | Katu, Tikta                     | Laghu, Ruksha           | Ushna  | Katu    | Kapha-Vatashamaka, Lekhana, Bhedana                                     |
| <b>Dhanyaka</b>  | Tikta, Kashaya, Madhura         | Katu, Laghu, Snigdha    | Ushna  | Madhura | Tridoshahara, Deepana, Paachana, Vatanulomana, Shwasa-Kasahara          |
| <b>Bharangi</b>  | Tikta, Kashaya                  | Katu, Laghu, Ruksha     | Ushna  | Katu    | Kapha-Vata Shamaka, Deepana, Pachana, Shwasa-Kasahara, Vatanulomana     |
| <b>Guduchi</b>   | Tikta, Kashaya                  | Katu, Guru-Snigdha      | Ushna  | Madhura | Tridoshashamaka, Rasayana, Deepana                                      |
| <b>Kantakari</b> | Tikta, Katu                     | Laghu, Ruksha           | Ushna  | Katu    | Kapha-Vatashamaka, Deepana, Paachana, Shwasa-kasahara, Vatanulomana     |
| <b>Pippali</b>   | Katu                            | Laghu, Snigdha, Tikshna | Ushna  | Madhura | Kapha-Vatashamaka, Deepana, Paachana, Shwasa-Kasahara, Rasayana         |
| <b>Maricha</b>   | Katu                            | Laghu, Tikshna          | Ushna  | Katu    | Kapha-Vataghna, Chedana, Pramathi, Deepana, Paachana, Shwas-Kasahara    |

As there is involvement of vitiated *Vata*, *Kapha* and pathology in *Pitta Sthana* in the pathogenesis of *Shwasa Roga*<sup>16</sup>, the drugs with *Vatakaphaghana*, *Vatanulomaka* and *Pittashamaka* properties were used in this study so that *Samprapti Vighatan* can be done in order to prevent the development and progression of the disease. In these selected formulations there is a balance of *Tridoshashamaka Rasa*, *Sheeta-Ushana Virya* and *Madhura-Katu Vipaka*; as shown in table no. 9. Due to these properties the interventional drugs showed good therapeutic effect. For example- *Haritaki* is

*Tridoshashamaka* and due to its *Deepana*, *Paachana* properties it helps in *Agnivardhan*, *Aampachana*. In addition to this due to *Bhedana* property it removes *Srotosanga* thus leads to *Vatanulomana*. *Guda* helps in translocation of ingested dust particles from the lungs to the tracheobronchial lymph nodes<sup>17</sup>.

**CONCLUSION**

This study showed that *Haritakyadi Gutika* and *Vasadi Kwatha* have promising results in

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symptomatic management of *Shwasa Roga* without any untoward effect. Mainly they are beneficial in relieving cough and expectoration. They also showed reduction in inhalers dependence of registered patients. Therapeutic effect of these drugs is comparable to the standard drug used in management of COPD i.e., tablet Doxofylline. As this disease is not fully curable and requires long term therapy along with frequent hospitalization due to acute exacerbations which imposes financial burden on individual and society. In addition to this long term use of steroids,  $\beta_2$  sympathomimetics which are frequently used in advanced disease causes some ill effects<sup>18</sup>. So, the study was done to establish such a cost effective treatment module which reduces the requirement of such drugs. This thirty days trial showed that *Haritakyadi Gutika* and *Vasadi Kwatha* are safe and good therapeutic agents for COPD.

Due to COVID-19 Pandemic the study was done on a small sample and only for one month. It can be done on a large sample for a longer duration to evaluate the long term effects of these drugs.

**Ethics Approval:** vide certificate no. Ayu/IEC/2018/1178

**CTRI Registration:**vide CTRI no. CTRI/2021/01/030640 dated 20/01/2021.

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**Conflict of interest:** None

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